**PATENT DKT. STL11497** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Xu Zuo and Xiaohong Sun

Assignee:

SEAGATE TECHNOLOGY LLC

Application No.:

10/713,825

Group No.: 2655

Filed:

November 14, 2003

Examiner: Unknown

FOI: ACTUATOR ASSEMBLY INCLUDING AN ACTUATOR BODY DAMPING ASSEMBLY

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

> TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

## IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: 6/25/04

Respectfully submitted,

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Bill D. McCarthy, Registration No. 26,772

Mitchell K. McCarthy, Registration No. 38,794

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#### INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

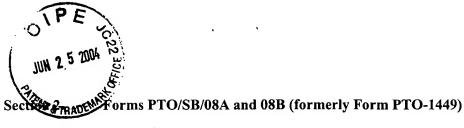
- 1. Preliminary Statements
- 2. Identification of Person(s) Making This Information Disclosure Statement

## Section 1. Preliminary statements

Applicant submits herewith a list of patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



PTO/SB/08A (08-03)

Approved for use through 04/30/2003; OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet \_1 \_\_ of \_\_2

Complete if Known						
Application No.: 10/713,825						
Filing Date: November 14, 2003						
First Named Inventor: Xu Zuo, et al.						
Group Art Unit: 2655						
Examiner Name: Unknown						
Attorney Docket Number: STL11497						

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.1	U.S. Patent Document Number Kind Code <sup>2</sup> (if known)		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,471,734	Hatch, et al.	12-05-1995	
	AB	5,491,598	Stricklin, et al.	02-13-1996	
	AC	5,650,896	Viskochil	07-22-1997	
	AD	5,657,187	Hatch, et al.	08-12-1997	
	AE	5,774,294	Fioravanti	06-30-1998	
	AF	5,805,387	Koester	09-08-1998	
	AG	5,940,251	Giere, et al.	08-17-1999	
	AH	5,943,191	Giere, et al.	08-24-1999	

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.¹	Office <sup>3</sup>	Foreign Patent Doc Number <sup>4</sup>	cument Kind Code <sup>s</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°

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Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

# Section 3. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

a. the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Date: 6 25 04

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